

10/525079

05 Rec'd PCT/PTO 18 FEB 2005

Docket No.

01460048AA

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
**(Under 37 CFR 1.97(b) or 1.97(c))**

In Re Application Of: **M. Okada et al.**

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
not yet assigned	2/18/05	not yet assigned	30743		

**Title: RECORDING METHOD, RECORDING AND REPRODUCING METHOD, REPRODUCING METHOD, RECORDING DEVICE, RECORDING AND REPRODUCING DEVICE, AND REPRODUCING DEVICE FOR OPTICAL INFORMATION RECORDING AND REPRODUCING MEDIUM, AND THE OPTICAL INFORMATION RECORDING AND REPRODUCING MEDIUM**

Address to:

Commissioner for Patents  
 P.O. Box 1450  
 Alexandria, VA 22313-1450

**37 CFR 1.97(b)**

1.  The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2.  The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

the statement specified in 37 CFR 1.97(e);

**OR**

the fee set forth in 37 CFR 1.17(p).

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT  
(Under 37 CFR 1.97(b) or 1.97(c))

In Re Application: M. Okada et al.

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
not yet assigned	2/18/05	not yet assigned	30743		

Title: RECORDING METHOD, RECORDING AND REPRODUCING METHOD, REPRODUCING METHOD, RECORDING DEVICE, RECORDING AND REPRODUCING DEVICE, AND REPRODUCING DEVICE FOR OPTICAL INFORMATION RECORDING AND REPRODUCING MEDIUM, AND THE OPTICAL INFORMATION RECORDING AND REPRODUCING MEDIUM

## Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- A check in the amount of \_\_\_\_\_ is attached.
- The Director is hereby authorized to charge and credit Deposit Account No. 50-2041 as described below.
- Charge the amount of \_\_\_\_\_
  - Credit any overpayment.
  - Charge any additional fee required.
- Payment by credit card. Form PTO-2038 is attached.

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

## Certificate of Transmission by Facsimile\*

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. \_\_\_\_\_)

(Date)

Signature

Typed or Printed Name of Person Signing Certificate

## Certificate of Mailing by First Class Mail

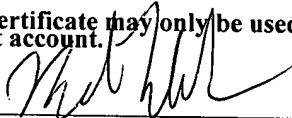
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on \_\_\_\_\_

(Date)

Signature of Person Mailing Correspondence

Typed or Printed Name of Person Mailing Certificate

\*This certificate may only be used if paying by deposit account.



Signature

Dated: February 18, 2005

Michael E. Whitham

Reg. No. 32,635

Whitham, Curtis &amp; Christofferson, PC

11491 Sunset Hills Road, Suite 340

Reston, Virginia 20190

703-787-9400

CC:

INFORMATION DISCLOSURE CITATION  
(Use several sheets if necessary)

Docket Number (Optional)

01460048A

Application Number

107525079  
not yet assigned

Applicant(s)

M. Okada et al.

DT05 Rec'd PCT/PTO 18 FEB 2005

Filing Date

concurrently (2/18/05)

Group Art Unit

not yet assigned

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

U.S. PATENT APPLICATION PUBLICATIONS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO
		2000-293947	10/2000	Pt. Abstracts of Japan			✓	
		05-101398	4/1993	Pt. Abstracts of Japan			✓	
		11-003550	1/1999	Pt. Abstracts of Japan			✓	
		2001-052337	2/2001	Pt. Abstracts of Japan			✓	
		03-157816	7/1991	Pt. Abstracts of Japan			✓	

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	H. Kitaura et al.; "Optical Data Storage 2001", SPIE Proceedings, Vol 4342; pp 340-347.
	K. Nagata et al.; "Rewritable Dual-Layer Phase Change Optical Disk"; Jpn. J. Appl. Phys., Vol 38 (1998), pp. 1679-1686.

EXAMINER	DATE CONSIDERED
----------	-----------------

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

**INFORMATION DISCLOSURE CITATION**  
*(Use several sheets if necessary)*

Docket Number (Optional)

01460048

Application Number

107525079  
not yet assigned

Applicant(s)

M. Okada et al.

Filing Date

concurrently 2/18/05

Group Art Unit

not yet assigned

**U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

**U.S. PATENT APPLICATION PUBLICATIONS**

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

**FOREIGN PATENT DOCUMENTS**

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO
		2001-148133	5/2001	Pt. Abstracts of Japan			✓	

**OTHER DOCUMENTS***(Including Author, Title, Date, Pertinent Pages, Etc.)*


EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.